

# Multiresolution compression and feature line reconstruction for Reverse Engineering

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## 1 Abstract

In this paper we analyze applications of Douglas-Peucker algorithm to compression and feature line extraction of 3D models from digital scans introducing a multiresolution model that enables a fast description of the object at different levels of details through the use of a unique rearrangement, stored in a file, of the input data set. The multiresolution algorithm, which reaches high data compression rates while producing fair approximation, is used to simplify each point of the input data set according to its scale, its basic shape type and its geometric parameters. Finally, object feature lines are reconstructed using shape information previously found. The proposed algorithm is able to segment the object into meaningful patches, which represent form features of the object, resulting in an important tool for reverse engineering and rapid prototyping.

**KEYWORDS:** Compression, Multiresolution Analysis, Feature Lines, Reverse Engineering, Rapid Prototyping, Douglas-Peucker algorithm.

## 2 Introduction

While in the traditional approach, objects are manufactured starting from a CAD model in reverse engineering real parts or object prototypes are transformed into CAD-like models that can be modified with different mathematical transformations providing a great flexibility to the design phase. The process of CAD model starts from a set of points, which are acquired on an existing object with several methods such as tactile or non-contact ones. The resulting data set, unorganized (laser scanners) or partially ordered (digitizing machines), is transformed into polyhedral or smooth surface converting therefore its discrete description into a piecewise, continuous model.

A basic step towards the creation of a CAD-like model, is the so-called segmentation. Its aim is the logical subdivision of the input, generically a set of points, in subsets such that each of them contains points belonging only to a specific surface type [2]. The approaches used for the segmentation process are general or dedicated

[3]. The first ones use only general knowledge of surfaces to execute the segmentation, while the dedicated approaches, that are preferable, search for particular structures in the data set [3]. Furthermore, the connectivities and continuity in the data structure of the model is very important and a low-level description, such as a simple collection of faces is not enough [2] and a decomposition into functional patches would be desirable in the majority of CAD/CAM applications.

In this sense, the work presented in this paper is concerned with the definition of high-level polyhedral models of the object skin, which is eventually described as a set of feature lines [4]. Starting from this model, we are seeking to segment the surface into machining meaningful patches representing form features of the object.

Our approach to set up a high level description of a data set is described in the following steps:

- level of detail simplification: compression through a multiresolution analysis. This phase aims at reducing sampled points to a minimal number discarding the ones which do not locate important shape elements. Therefore the main goal of simplification is to generate a low approximation that maintains the main features and overall appearance. The number of sampled points necessary to represent a data set accurately depends on the model and on its characteristics with respect to its morphological structure,
- scan line analysis: shape feature classification using a scale-base and geometric characterization of features that allows to distinguish local and global details,
- feature lines detection: extraction of feature lines or curves achieved joining points which lie on adjacent scan lines judged similar according to the measures defined in §5.

The paper is organized as follows. First data compression and simplification technique are presented. Then the measures used to classify the shape feature of the scan lines are introduced and, finally, the determination of the feature lines and considerations about the segmentation process are given.

### 3 Data compression

New scan technologies have accelerated, on the one hand, the manufacturing process providing dense data set in order to achieve accurate reconstructed products and have brought, on the other hand, the need of discarding redundant information. The aims of data compression [2, 3, 5, 10, 16] is to preserve the shape and to control locally the approximation error. Its main advantages are a large decrease of storage requirements, a lower cost for visualization and a fast transmission, which is important for web-based applications where bandwidth limitation becomes a major concern. Furthermore, this step also represents a

preprocessing with respect to classification of shape features.

In the choice of data compression algorithms for reverse engineering, the constraint is the no-repositioning of sampled points, that is, the compressed data set has to be a subset of the original one.

First of all, the sampled object is assumed to be a 2.5D surface with points distributed on parallel planes that define a sequence of scansion lines.

**Definition.** Let be  $F := \{(x, y, z) \in \mathbf{R}^3 : f(x, y) = z\}$  a single-valued function defining the object surface, and  $S := \{(x, y, z) \in \mathbf{R}^3 : ax + by + c = 0\}$  a plane ( $a \neq 0$  or  $b \neq 0$ ). A scansion line  $L$  is an ordered sequence of  $(n + 1)$  points  $L := \{P_0(x_0, y_0, f(x_0, y_0)), \dots, P_n(x_n, y_n, f(x_n, y_n))\}$  such that  $L \subseteq F \cap S$  and at least one between  $(x_0, \dots, x_n)$  and  $(y_0, \dots, y_n)$  is a closely increasing or closely decreasing sequence. Then, the sampling of  $F$  with respect to the scansion direction defined by the normal vector  $(a, b, 1)$  to a set of planes  $S_i = \{(x, y, z) \in \mathbf{R}^3 : ax + by + c_i = 0\}$   $i = 0, \dots, k$  is represented by  $L_0, \dots, L_k$  where each  $L_i$  is the scansion line on  $F$  with respect to  $S_i$  with  $c_i > c_{i+1}$ .

Given a 3D-set  $P = \{P_i\}_{i=0}^n$ , associated with the polygonal curve  $S(P_0, \dots, P_n)$  obtained connecting consecutive points  $P_i, P_{i+1}, i = 0, \dots, n - 1$  with a line segment, we want to define a new set  $Q = \{Q_i\}_{i=0}^m$  such that

- $Q$  is a subset of  $P$  ( $m \leq n$ ),
- the error between the data points and the fitted line is less than a maximum tolerance with respect to the Hausdorff distance  $d$  defined by the following relation

$$d(X, Y) := \max\{d_X(Y), d_Y(X)\}$$

where  $d_X(Y)$  represents the distance of  $Y$  from  $X$  defined as

$$d_X(Y) := \sup_{y \in Y} \left\{ \inf_{x \in X} \{\|x - y\|_2\} \right\}$$

$\forall X, Y \subseteq \mathbf{R}^3$  with  $\|\cdot\|_2$  Euclidean norm.

Suppose we are given a chain with  $n$  segments, the Douglas-Peucker hierarchical algorithm [3, 16] sorts points  $\{P_i\}_{i=0}^n$  in decreasing order of importance with respect to the criterion that will be defined using the distance  $d$ . Therefore we have to settle the permutation  $j : \{0, \dots, n\} \mapsto \{0, \dots, n\}$  that represents the rearrangement of the input data set.

The first step selects endpoints of the line segment  $S(P_0, \dots, P_n)$  choosing  $j(0) = 0$  and  $j(1) = n$ . Constructed  $S(P_{j(0)}, P_{j(n)})$ , which combines  $P_0$  to  $P_n$ , the algorithm picks out the point  $P^*$  that satisfies the relation

$$d(P^*, S(P_0, P_n)) = \max_{i=1, \dots, n-1} \{d(P_i, S(P_0, P_n))\}.$$

If  $P^* = P_k$  we update  $j$  choosing  $j(2) = k$  and we split the chain at this vertex obtaining two new polylines  $\widehat{P_0 P_k}$  and  $\widehat{P_k P_n}$ . In every subset of the input line the splitting point, which is the farthest vertex from the line segment that joins local endpoints (i.e local anchor and floater), is recursively selected and the index of the point with maximum distance gives the value of  $j$  for the step in issue. After at most  $(n-1)$  steps, points will be hierarchically reordered.

The method provides a sequence  $(P_{j(i)}, d_i^H)_{i=0}^n$  that is used for the description of the object at different levels of details: for instance, to find the polygonal chain that satisfies the relation

$$d(S(P_0, \dots, P_n), S(Q_0, \dots, Q_m)) \leq \epsilon \quad (1)$$

the choice is to set  $\{Q_i = P_{j(i)}\}_{i=0}^m$  with  $m$  such that

$$d_i^H \geq \epsilon \quad i = 0, \dots, m, \quad \text{and} \quad d_{m+1}^H < \epsilon$$

where the reduction percentage  $(100 * \frac{n-m+1}{n+1}) \%$  depends on the setup tolerance.

The common use of this simplification method has a different approach to reduce the number of points of a dense sampled data set with the use of a tolerance band  $\epsilon$ . At a given step we approximate the chain from  $P_i$  to  $P_j$  with

- the line segment  $\overline{P_i P_j}$  if the farthest vertex  $P^*$  from this segment has distance at most  $\epsilon$ , otherwise
- we will split the chain at this vertex applying the criterion recursively to the new polylines  $\widehat{P_i P^*}$  and  $\widehat{P^* P_j}$ .

We observe that the output of the algorithm is equal to the previous subset of  $P$  but it has been preferred to stop the calculation after  $(m+1)$  steps constructing the set of indexes  $\{j(0), \dots, j(m)\}$ . Therefore the upper bound of the evaluation of the distance formula, which is the most time consuming part of the procedure, is  $O(mn)$  rather than  $(n \log_2 n)$  required for a total rearrangement of the data set [6].

We can modify the previous situation such that will be demanded in input to set up a data reduction rate rather than a tolerance band. This choice is justified by a greater simplicity that it finds commonly in estimating this parameter regarding the choice of the maximum approximation error  $\epsilon$ . Set up a value  $k$  we consider the set  $\{Q_i = P_{j(i)}\}_{i=0}^m$  with  $m := \lceil \frac{100-k}{100} \times (n+1) \rceil$  where  $\lceil \cdot \rceil$  is the integral part. This choice allows to obtain the polyline  $S(Q_0, \dots, Q_m)$  which fulfills (1) with  $\epsilon = \min_{i=1, \dots, m} \{d_H^i\}$  and the result is achieved writing in an ASCII file, for every polyline, the rearrangement represented by the permutation  $j$  and its array of distances  $(d_H^i)_{i=0}^n$  ( $d_H^0 = d_H^n = 0$ ). To summarize, our approach to compression allows to reduce the time needed to switch from a level of detail to another, without additional cost: indeed only the simple reading of the indexes  $j(i)$ , which characterize the points of the output, is required without any distance computation.

## 4 Multiresolution analysis and simplification

In this section, we want to define the multiresolution approach to the description of a sampled data set through the Douglas-Peucker. Consider a set of points in  $\mathbf{R}^3$ , expressed as a column vector of sampled points  $S_n := [P_0, P_1, \dots, P_n]^T$ . We wish to construct a low resolution version  $S_{n-1}$  of  $S_n$  with a fewer number of points  $m$ . The standard approach [2] to its construction is represented by a  $(m, n+1)$  matrix such that the relation  $S_{n-1} = A_n * S_n$  holds. In the filtering process from  $S_n$  to  $S_{n-1}$   $(n-m+1)$  points will be omitted with an amount of detail lost that will be estimated regarding the Hausdorff distance  $d$ . We indicate therefore with  $D_{n-1}$  the lost detail of  $S_n$  through the relation  $D_{n-1} = B_n * S_n$  with  $B_n$   $(n-m+1, n+1)$  matrix and we refer to  $A_n$  and  $B_n$  with the term of analysis filters while the splitting of  $S_n$  in  $(S_{n-1}, D_{n-1})$  is called decomposition. Applying recursively this method we are able to express the initial set of points through a hierarchical structure of low resolution descriptions  $S_0, \dots, S_{n-1}$  and of details  $D_0, \dots, D_{n-1}$ . This process, called filter bank, is summarized in (2). Since  $S_n$ , as we will see in the following pages, can be reconstructed from the sequence  $S_0, D_0, \dots, D_{n-1}$  the filter bank represents a hierarchical transformation of the sampled data set.

Introduced the outline base for the multiresolution analysis we have to specify:

- the criterion of construction of  $A_k$  and  $B_k \forall k = 1, \dots, n-1$ ,
- the error achieved in the compression process from  $S_n$  to a lower resolution  $S_k$ ,
- the expression of  $S_n$  through  $S_0, D_0, \dots, D_{n-1}$ .

To this end we consider a decreasing sequence of real non-negative tolerance bands that belong to the  $\alpha$ -set  $\{d_1, \dots, d_n : d_1 > \dots > d_n, n \geq 1\}$  and we define with

- $S_k$  the data set achieved applying to  $S_{k+1}$  the Douglas-Peucker algorithm with tolerance band  $d_{k+1} \forall k = 0, \dots, n-1$ ,
- $D_k := S_{k+1} - S_k$  ( $D_k \cap S_k = \emptyset, S_{k+1} = S_k \cup D_k$ )  $\forall k = 0, \dots, n-1$  the data set of detail,

describing the step in issue with the following scheme

$$\begin{array}{ccc} S_{k+1} & \xrightarrow{A_k} & S_k \\ & \searrow^{B_k} & \\ & & D_k. \end{array}$$

Each element of the  $\alpha$ -set represents the width of a tolerance band and the simplification error  $d_n$ . From previous relations we have that  $S_k \subseteq S_{k+1}$  and we can construct the sequence

$$S_0 \subseteq S_1 \subseteq \dots \subseteq S_n$$

and its filter bank

$$\begin{array}{ccccccc}
S_n & \xrightarrow{A_n} & S_{n-1} & \longrightarrow & \dots & \longrightarrow & S_1 & \xrightarrow{A_1} & S_0 \\
& \searrow^{B_n} & & \searrow & & & & \searrow^{B_1} & \\
& & D_{n-1} & & \dots & & & & D_0
\end{array} \quad (2)$$

with

$$S_k = \{P_{j(i)} \in S_{k+1} : d_H^i \geq d_{k+1}\} = \{P_{j(i)} \in S_n : d_H^i \geq d_{k+1}\}$$

and

$$D_k = \{P_{j(i)} \in S_n : d_H^i \in [d_{k+2}, d_{k+1}]\}.$$

We conclude that  $S_{k-1}$  is achieved applying the algorithm with band  $d_{k+1}$  either to  $S_n$  or to  $S_k$ .

We can summarize the previous relations as

$$S_n = \cup_{k=0}^{n-1} D_k \cup S_0$$

that is the original data set is uniquely decomposed using the low resolution description  $S_0$  and the detail sets  $(D_k)_{k=0}^{n-1}$ . Furthermore, filter and analysis operators are expressed through the following relations:

$$A_k(r, s) = \begin{cases} 1 & \text{if } d_H^{j^{-1}(r)} \geq d_{k+1} \\ 0 & \text{else,} \end{cases} \quad B_k(r, s) = \begin{cases} 1 & \text{if } d_H^{j^{-1}(r)} < d_{k+1} \\ 0 & \text{else.} \end{cases}$$

Finally, the evaluation of the error carried out in the compression process from  $S_i$  to  $S_k$  ( $i > k$ ) is

$$d(S_i, S_k) \leq d_{k+1}.$$

The accuracy of the process has to be tuned on the width of the value  $d_n$  and all lines have to be simplified using a unique  $\alpha$ -set of tolerance bands. Problems may arise in the choice of this set due to unbalanced density and dimension of feature shape (a possible way to solve this problem is re-calibration of the  $\alpha$ -set). In shape line analysis tolerance bands are defined using the following relation

$$d_i := i \times \frac{d_1}{n} \quad i = 1, \dots, n$$

with  $d_1$  maximum global error (i.e maximum error of every polyline).

## 5 Scansion line analysis and feature line detection

The aim of scansion line analysis, which relies on the assumption that the shape of next scansion are similar for densely defined data set, is a classification of shape features and it represents the background for shape reconstruction. Therefore feature lines of the object will be locally compound of similar points with respect



Figure 1: Original data set with 1.328.531 points structured in 1.214 polylines

to shape similarity defined in the following.

As described in the previous section the Douglas-Peucker method, with a user defined  $\alpha$ -set, enables to obtain a first description of points of a scansion line with respect to size of shape features. Beside this scale analysis, which codes sizes of features, we consider geometric parameters with the aim to classify their type. The adopted scheme (see tab.1), which uses three points to describe a feature, identifies shapes meaningful for producing a decomposition relevant with respect to traditional basic approach in CAD contexts.

The second classification (see tab.2), based on angular measures  $\delta, \beta$  that are introduced regarding the same triplet of points according to the previous classification, distinguishes among shape features evaluating their amplitude and orientation.

Using the  $\alpha$ -set, the previous classification and the angular measures we are able to introduce three basic similarity "relations" on the input data set:

- $\alpha$ -width similarity:  $P \in L_P, Q \in L_Q$

$$P \sim_{\alpha} Q \iff \text{width}(P) = \text{width}(Q)$$

where  $\text{width}(K)$  is the  $\alpha$ -width that characterizes the point  $K$  in its scansion line,



Figure 2: Result of compression: compressed data set with 66.427 points, data reduction 95% .

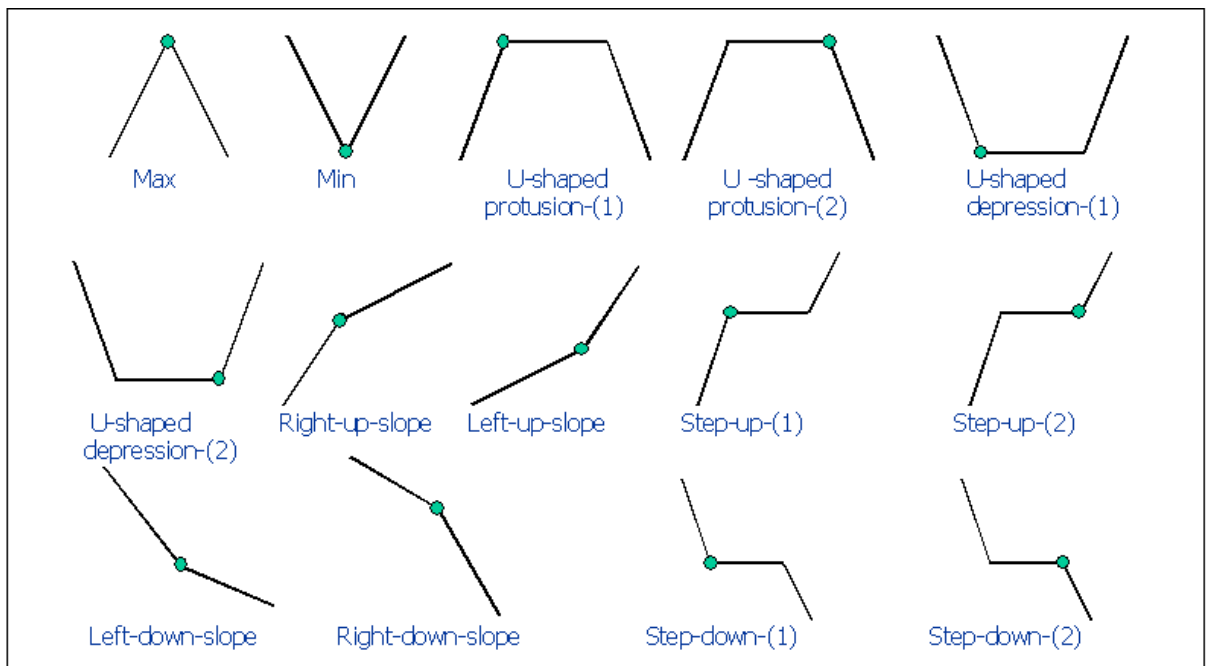


Figure 3: Tab. 1 Mathematical description of the primitive shape elements. For each configuration, squares represent points where the label will be added



- feature similarity:  $P \in L_P, Q \in L_Q$

$$P \sim_T Q \iff T(P) = T(Q)$$

where  $T(K)$  represents the class of point  $K$  according to table 1,

- geometry similarity:  $P \in L_P, Q \in L_Q$

$$P \sim_G Q \iff \text{their orientation and inner angles are similar}$$

(i.e they differ less than a given threshold).

Beside shape characterization, the suggested measures are used, on the one hand, for evaluating similarity among shape features and, on the other hand, for reconstruction of the last ones. This method recognizes feature lines in directions almost orthogonal to the scansion one by linking similar points from a line to a next one. Using the previous relations, two points  $P, Q \in \mathbf{R}^3$  will be connected if and only if

$$P \sim_\alpha Q, \quad P \sim_T Q, \quad P \sim_G Q.$$

Moreover, distance among points is considered with the purpose of maintaining fidelity of line recognition that decreases increasing the first one. Following the approach in [15] an influence cone, with a variable axis oriented in the direction of the lines to reconstruct, is used to select portion of adjacent line relevant for reconstruction. We underline that a crucial part of the algorithm for detection of feature lines is the selection of the part of similar points, because there may be a considerable number of candidate points as well as none. Furthermore, scanning direction is fundamental because feature lines whose directions are almost parallel to it will be, generally, impossible to detect with this approach.

## 6 Conclusion and further works

The proposed method introduces the multiresolution analysis and shape feature detection of a highly detailed and densely defined data set with the use of a unique rearrangement, stored in a file, of the input which enables a fast description of the object at different levels of details. Furthermore, any level of detail can be accessed directly maintaining geometric behavior according to the needs of the problem domain where a rough approximation  $S_0$  is superseded by subsequent refinements  $(D_0 \cup S_0), (D_1 \cup D_0 \cup S_0), \dots, (\cup_{k=0}^{n-1} D_k \cup S_0)$ . The algorithm reaches therefore high data reduction rates while producing fair approximations.

Our strategy to subdivide a surface in shape features, is based on the consideration that a scansion line is a section of the surface and this imply that the first one contains sections of the shape features of the surface. The segmentation, that is the classification of each point as belonging to a specific feature class, will be driven by the feature lines detection. The method that we are developing considers simple and compound of features in the surface, which can be detected thanks to multiscale approach That provides a hierarchy among points features.

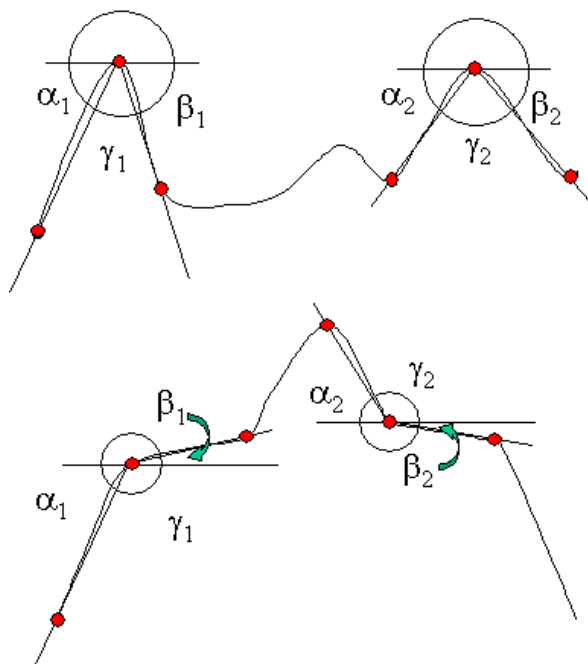


Figure 4: Tab. 2 Classification of feature based on angular measures.

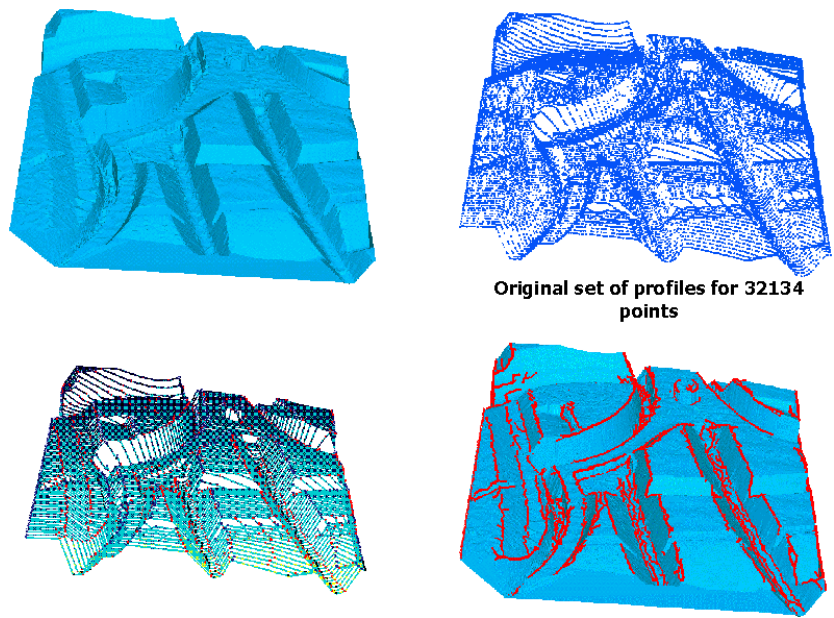


Figure 5: Application of the method to a 2.5D example.

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